



UNIVERSITÀ DEGLI STUDI
DI GENOVA

*XXI Congresso Nazionale Società Italiana di
NeuroPsicoFarmacologia. Elementi innovativi in NeuroPsico
Farmacologia e nuove frontiere terapeutiche*

«Agonisti parziali della dopamina e trattamento dimensionale delle depressioni»

Gianluca Serafini M.D. Ph.D

Department of Neuroscience DINOGMI,
University of Genoa, Italy

gianluca.serafini@unige.it

Sede: Fondazione Stelline
Corso Magenta, 61 - Milano



DINOGMI
2018-2022

DIPARTIMENTO
DI ECCELLENZA
MIUR

DINOGMI



What are the main reasons for the dimensional treatment of major depression?



Vincent van Gogh

Unmet needs (e.g., 1) delayed mechanism of action, 2) adverse effects, 3) residual symptoms, 4) lower remission rates, 5) persistent cognitive impairment)

.....Nearly **30% of MDD patients have failed response to antidepressants** or psychotherapy and are referred to as having **treatment resistant depression**

Compared with other **patients**, those with **unmet needs and TRD** have **lower productivity, higher medical comorbidity, and more suicidality**

To improve remission rates, **it is important to explore alternative therapeutic strategies**

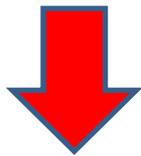
Rush et al. Acute Am J Psychiatry. 2006;163(11):1905-1917; Trevino et al., Ann Clin Psychiatry. 2014;26(3):222-232; Amital et al., J Affect Disord. 2008; 110(3):260-264

Dopamine partial agonists: history and background

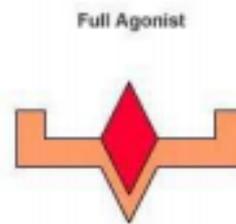


*Judy Hope Monash
University, Australia*

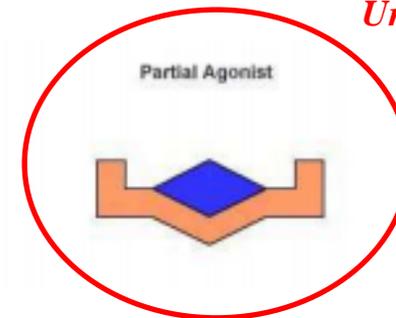
For about a decade **during the 1990s, studies with partial dopamine agonists could not demonstrate efficacy**



The **probable reason** was that **the drugs tested did not have the appropriate agonist:antagonist ratios.**

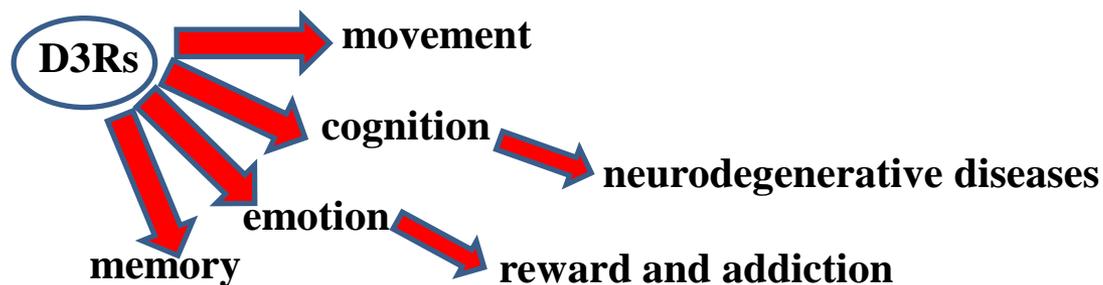
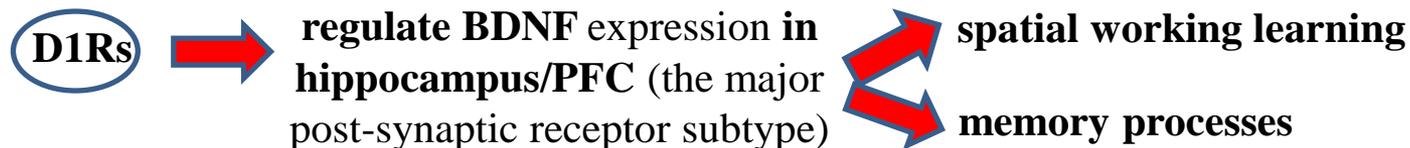
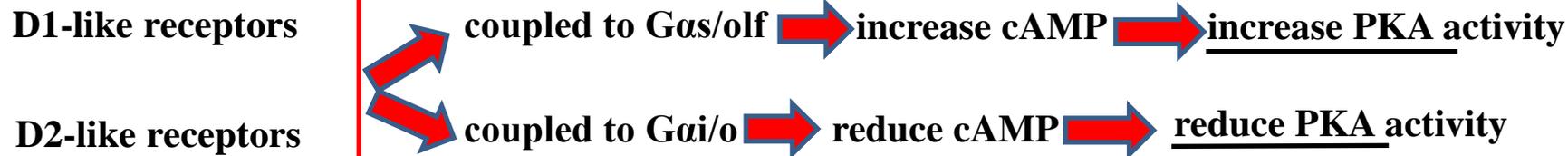


The full agonist is able to induce a conformational R change leading to a maximal effect (a measure of the intrinsic activity)



Partial agonists are able to induce some degree of R activation but not of sufficient magnitude for a maximal response (intrinsic activity between 0 and 1)

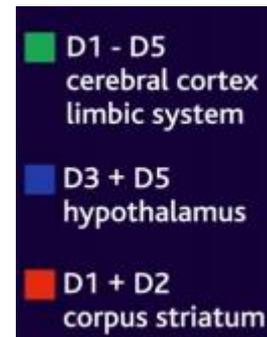
The strategy of targeting D1- and D2-like receptors



Pierre Sokoloff



Paul Greengard



Xing et al., Behav. Brain Res. 2012; Sarinana, et al., Proc. Natl. Acad. Sci. U. S. A. 2014; Yang et al., Ageing Res Rev 2020



Jeffrey A. Lieberman

Dopamine Partial Agonists A New Class of Antipsychotic

Jeffrey A. Lieberman

University of North Carolina School of Medicine, Chapel Hill, North Carolina, USA

Abstract

This review examines the development of dopamine partial agonists as a new class of antipsychotic agents. Partial agonists have a lower intrinsic activity at receptors than full agonists, allowing them to act either as a functional agonist or a functional antagonist, depending on the surrounding levels of naturally occurring neurotransmitter (full agonist). In the absence of a full agonist, partial agonists show functional agonist activity, binding to the receptor to produce a response. In the presence of a full agonist, partial agonists show functional antagonist activity, as receptor binding reduces the response from that seen with the full agonist. A partial agonist at dopamine D₂ receptors therefore offers an attractive option for the treatment of schizophrenia. It should act as a functional antagonist in the mesolimbic dopamine pathway, where excessive dopamine activity is thought to cause positive symptoms, but show functional agonist activity in the mesocortical pathway, where reduced dopamine activity is thought to be associated with negative symptoms and cognitive impairment. In addition, it should avoid the



BRAINSTORMS—Clinical Neuroscience Update

Drugs for psychosis and mood: unique actions at D3, D2, and D1 dopamine receptor subtypes

Stephen M. Stahl



Stephen Stahl

ISSUE:

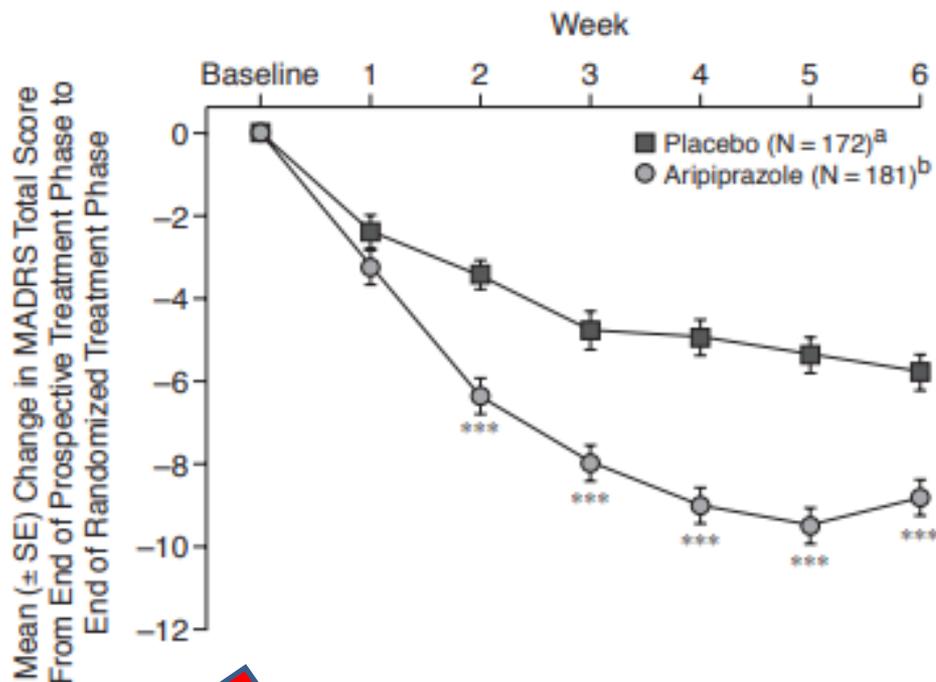
Drugs for psychosis and mood that bind dopamine D2 receptors can be classified not only by whether they also block serotonin 2A receptors, but by whether they also bind D3 or D1 receptors.

Ki (nM)	D3	D2	D1
Dopamine (DA)	60	540	1766
D2 BINDING ONLY			
Brexiprazole*	1.1	0.3	164
Paliperidone	2.6	1.4	41
Aripiprazole	4.6	2.3	1173
Risperidone	7.3	3.7	327
Ziprasidone	7.3	4.75	80
Iloperidone	10.5	8.3	129
Lurasidone**	15.7	0.66	262
Quetiapine	394	437	1096
D2 + D1 BINDING			
Asenapine	1.8	1.7	2.9
Olanzapine	38.05	30.75	56.6
Clozapine	310	147	240
D2 + D3 BINDING			
Cariprazine***	0.09	0.49	1000
Blonanserin****	0.494	0.142	1090

A new classification of drugs binding D2R creates 3 different categories: those preferentially binding D2 receptors (most of these agents), those also binding D3 receptors effectively (cariprazine>blonanserin), and those that also bind D1 receptors (asenapine, clozapine, and olanzapine> quetiapine and ziprasidone)

These 3 classes theoretically have potential clinical implications, such as improvement in cognition, motivation, and mood

The efficacy/safety of aripiprazole, a first class adjunctive agent to ADs in inadequately treated MDD (approved in 2007): a multicenter, randomized, double-blind, placebo-controlled study



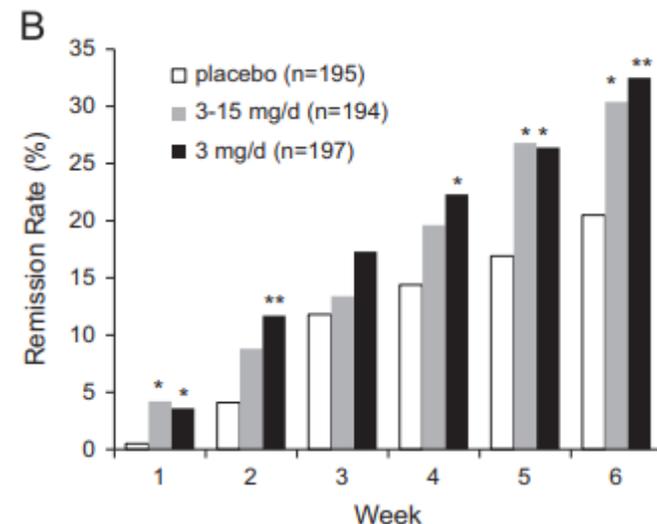
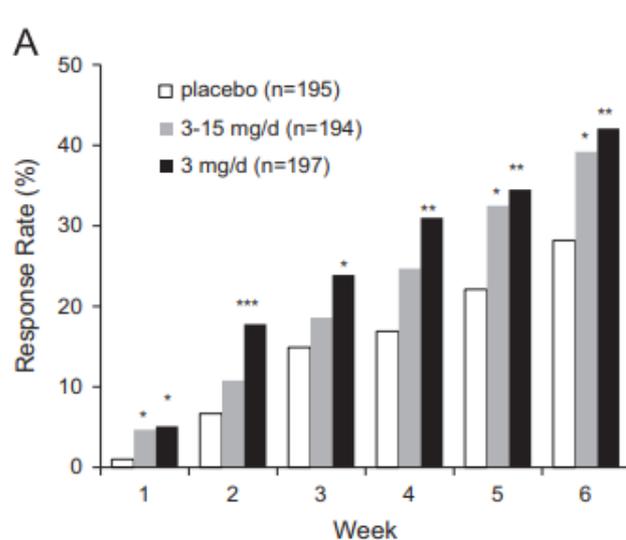
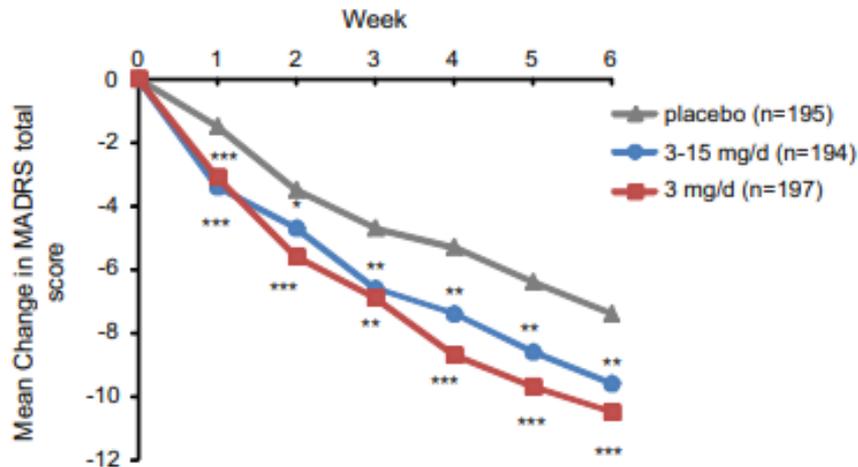
- A total of 178 patients were randomly assigned to adjunctive placebo and 184 to adjunctive aripiprazole.
- Rapid improvements in MADRS total scores as early as week 2.
- Continuous improvement in the aripiprazole group.

In patients with MDD who showed an incomplete response to antidepressants, adjunctive aripiprazole was efficacious and well tolerated

(Berman et al., J Clin Psychiatry 2007, 2009)

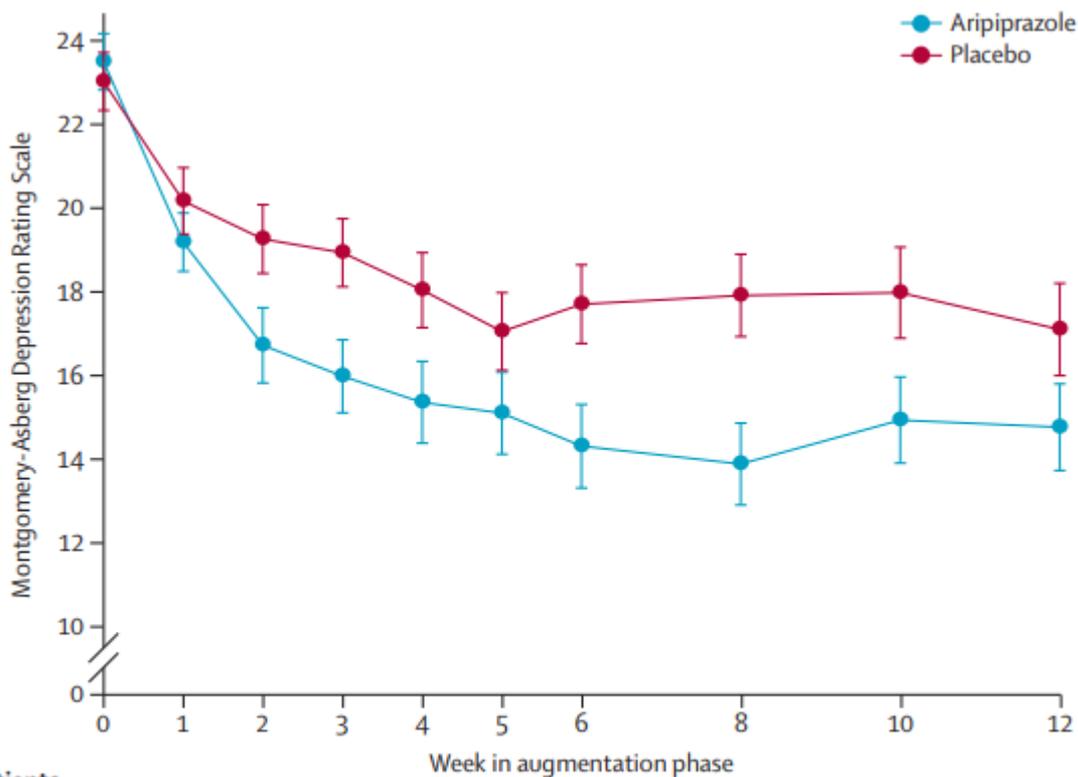
Aripiprazole as augmentation therapy was effective in Japanese patients with MDD

Adjunctive aripiprazole was significantly superior (with comparable clinical benefits between the fixed dose (3 mg/day) and flexible dose (3–15 mg/day) to adjunctive placebo) in improving depressive symptoms at endpoint and **the onset of activity was evident from Week 1**



(Kamijima et al., J Affect Disord 2013)

Augmentation pharmacotherapy with aripiprazole for treatment-resistant depression in late life



Number of patients

Aripiprazole	91	89	88	88	88	85	82	82	86	87
Placebo	90	90	90	86	86	83	86	82	83	83

➤ **N=181** (39%) did not remit, **91** were randomised to aripiprazole, and **90** were randomised to placebo

➤ **Aripiprazole benefits in this trial included a reduction of suicidal ideation based on Scale of Suicidal Ideation and health-related quality of life**

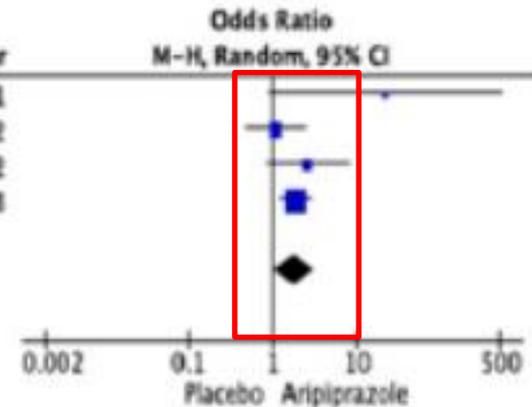
In adults aged 60 years or older who do not achieve remission from depression with a first-line antidepressant, the addition of aripiprazole is effective in achieving and sustaining remission

(Lenze et al., Lancet 2015)

Forest plot for MDD: aripiprazole at low doses

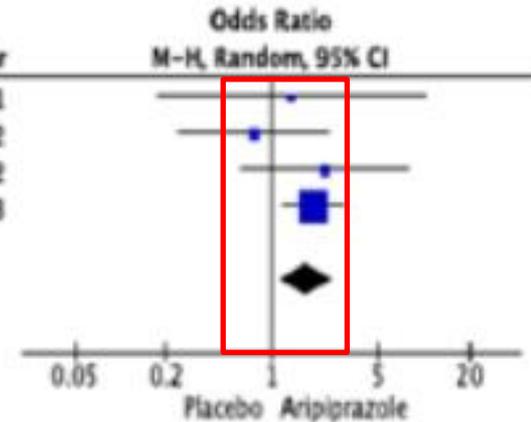
Response

Study or Subgroup	Aripiprazole		Placebo		Weight	Odds Ratio		Year
	Events	Total	Events	Total		M-H, Random, 95% CI		
Lin 2011	8	8	3	7	2.7%	21.86	[0.91, 523.42]	2011
Fava 2012b	10	54	29	167	28.1%	1.08	[0.49, 2.39]	2012
Fava 2012a	11	61	5	63	17.2%	2.55	[0.83, 7.84]	2012
Kamijima 2013a	83	197	55	195	52.1%	1.85	[1.22, 2.82]	2013
Total (95% CI)		320		432	100.0%	1.80	[1.06, 3.04]	
Total events	112		92					
Heterogeneity: $\tau^2 = 0.09$; $\chi^2 = 4.35$, $df = 3$ ($P = 0.23$); $I^2 = 31\%$								
Test for overall effect: $Z = 2.18$ ($P = 0.03$)								



Remission

Study or Subgroup	Aripiprazole		Placebo		Weight	Odds Ratio		Year
	Events	Total	Events	Total		M-H, Random, 95% CI		
Lin 2011	4	8	3	7	3.7%	1.33	[0.17, 10.25]	2011
Fava 2012b	4	54	16	167	12.0%	0.76	[0.24, 2.36]	2012
Fava 2012a	8	61	4	63	9.9%	2.23	[0.63, 7.82]	2012
Kamijima 2013a	64	197	40	195	74.4%	1.86	[1.18, 2.95]	2013
Total (95% CI)		320		432	100.0%	1.68	[1.13, 2.50]	
Total events	80		63					
Heterogeneity: $\tau^2 = 0.00$; $\chi^2 = 2.33$, $df = 3$ ($P = 0.51$); $I^2 = 0\%$								
Test for overall effect: $Z = 2.58$ ($P = 0.010$)								



Improvements of both response (OR: 1.8) and remission (OR: 1.68) rates were found for low-dose aripiprazole as an add-on to antidepressants

Aripiprazole as add-on treatment to standard antidepressants: heterodimers variants are weakly coupled with transduction proteins

The **pharmacological activity** of aripiprazole could be more complex when considered in the context of **DR heteromerization**



Certain actions of aripiprazole may reflect: 1) low efficacy stimulation of D2R, 2) D3R or D2R/D3R receptors (antagonist), 3) while other effects may reflect blockade of D3R, and/or 4) D2R/D3R heterodimers weakly coupled to transduction mechanisms



Leggio et al., Pharmacol Ther 2016

For example, the observation that the partial agonist activity of aripiprazole at D2R is abrogated upon co-expression of D3R indicates that **at D2R/D3R heterodimers aripiprazole seems to behave as D2R antagonist.**

(Novi et al., J Neurochem 2007)

However, the receptor mechanism through which aripiprazole exerts its antidepressant action remains to be precisely defined

(Leggio et al., Pharmacol Ther 2016)

What are the factors (other than affinity) that may influence the effects of dopamine partial agonists?

1 Repeated administration of antipsychotics



↑ **D2R family number in the high affinity state**
(Seeman et al., Synapse, 2005)



↑ **high affinity receptors number augments the efficacy of D2/D3R partial agonists**

4 The amount of drug binding depends on **binding affinity, dose of the drug, but even dopamine levels**

2 Changes in receptor reserve are relevant for partial agonists with a **high affinity** for the receptors



In systems with significant D2R/D3R reserve, partial agonists show 90% of full agonism
(Burris et al., J Pharmacol Exp Ther 2002; Tadori et al., Eur J Pharmacol 2009)

3 D1-D3, D1-D2, D2-D3 heterodimers have **different signaling properties** than monomers

5 Heterodimers may be **strongly/weakly coupled to transduction mechanisms**

(Varela et al., J Psychopharmacol 2014; Gao et al., J Psychopharmacol 2015)

The second class of medications approved as adjunctive agents to antidepressants

Brexpiprazole



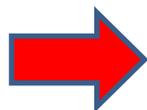
Australian Government

Department of Health
Therapeutic Goods Administration

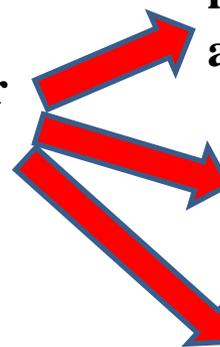
In May 2017, the
Australian Therapeutic Goods
Administration approved
brexpiprazole for the treatment of
schizophrenia in adults



Brexpiprazole
a third generation AP



a broad receptor
binding profile



lower intrinsic activity
at dopamine D2 receptor

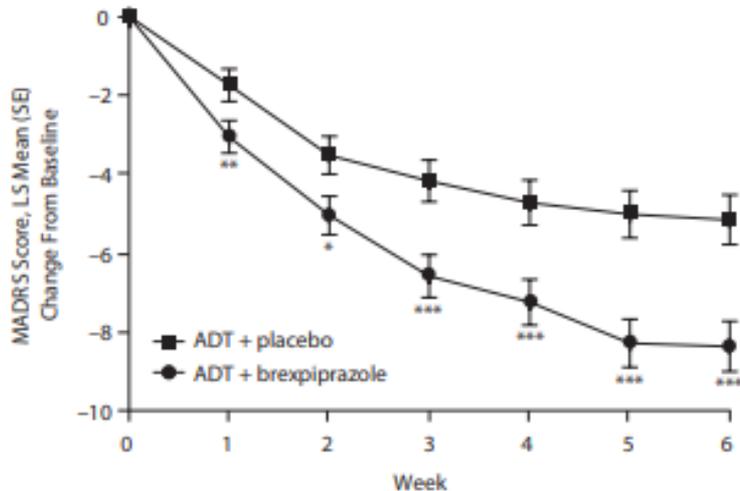
higher intrinsic
activity at 5-HT1A

higher affinity for α 1b/2c
adrenergic receptors

*Lundbeck Australia. REXULTI (Brexpiprazole) product information.
North Ryde, 2017; Björkholm et al., Eur Neuropsychopharmacol 2017*

Efficacy and Safety of Adjunctive Brexpiprazole 2 mg in Major Depressive Disorder:

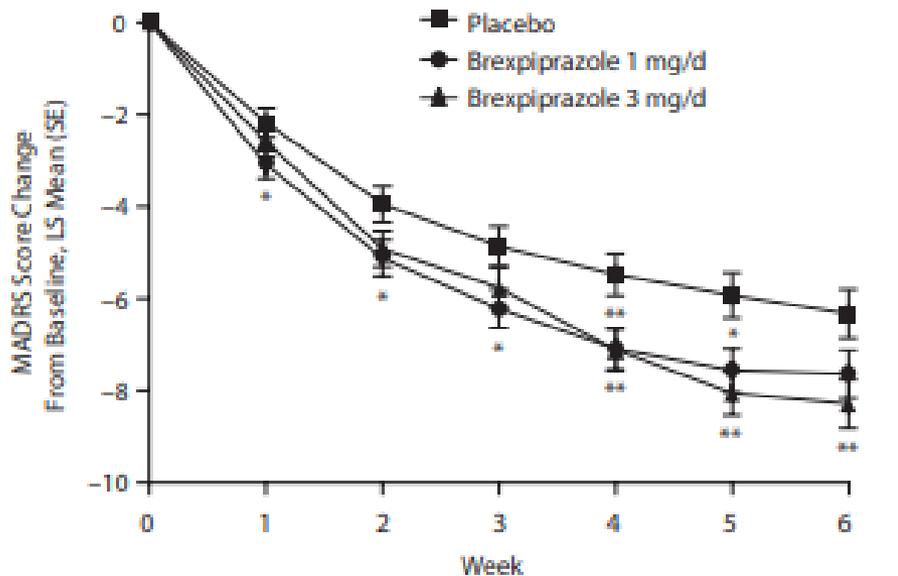
A Phase 3, Randomized, Placebo-Controlled Study in Patients With Inadequate Response to Antidepressants



Adjunctive brexpiprazole demonstrated efficacy in patients with major depressive disorder and inadequate response to antidepressants

- **Double-blind** treatment using **adjunctive brexpiprazole 1 mg and 3 mg daily** dosing vs. adjunctive placebo
- **N=677** patients who had an **inadequate response to a 8-week single-blind placebo adjunct** to escitalopram, fluoxetine, controlled-release paroxetine, sertraline, duloxetine, or venlafaxine
- **Brexpiprazole (n=175) reduced mean MADRS total score versus placebo (n = 178) at week 6** in the efficacy population per final protocol (-8.36 vs -5.15, $P = .0002$). **Brexpiprazole improved SDS mean score versus placebo (-1.35 vs -0.89, $P = .0349$).**

Adjunctive brexpiprazole 1 and 3 mg for patients with mdd following inadequate response to antidepressants: a phase 3, randomized, double-blind study



➤ In the efficacy population per final protocol, **brexpiprazole 3 mg** (n=213) showed a greater improvement in MADRS total score vs. placebo (n = 203; -8.29 vs -6.33; P = .0079), whereas brexpiprazole 1 mg did not (n = 211; -7.64 vs -6.33; P = .0737).

➤ The brexpiprazole groups showed a higher improvement in SDS mean score versus placebo (least squares [LS] mean difference: [1 mg] -0.49, P = .0158; [3 mg] -0.48, P = .0191).

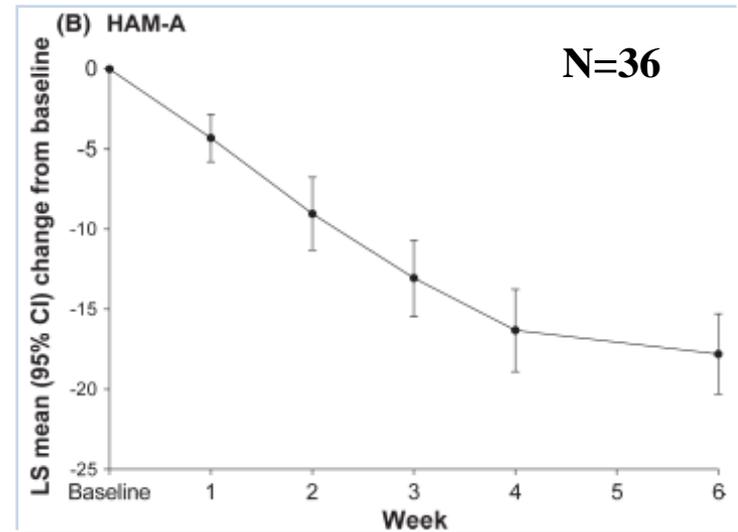


Brexpiprazole 3 mg showed efficacy vs. placebo in the efficacy population per final protocol. Both doses of brexpiprazole were well tolerated

Brexpiprazole+ADs may be an appropriate treatment option for the difficult-to-treat MDD patients with anxiety

- This is an **exploratory 6-week, open-label study** exploring the effect of brexpiprazole adjunctive medication to antidepressants

Scale	Baseline	Change from baseline at Week 6	
	Mean (SD)	Mean (SD)	Mean (SD)
HAM-D-17 total score	24.50 (3.95)	-15.85 (7.37)	
KSQ total score	55.9 (13.8)	-29.4 (20.9)	
Symptom subscales			
➔ Anxiety	10.6 (3.8)	-5.2 (5.1)	
➔ Depression	11.9 (3.4)	-6.6 (5.1)	
➔ Somatic symptoms	8.1 (4.9)	-4.1 (4.1)	
➔ Anger-hostility	8.6 (4.6)	-4.5 (4.5)	
➔ MGH-CPFQ total score	28.53 (4.18)	-9.85 (8.79)	
➔ BIS-11 total score	71.67 (8.99)	-7.67 (10.14)	

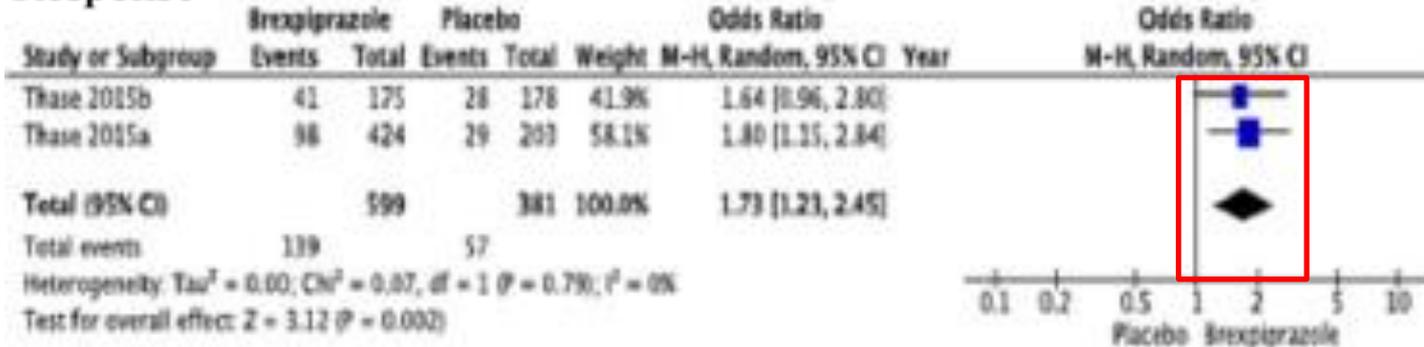


- **Improvements in depression and anxiety symptoms were observed as early as 1 week after initiation of brexpiprazole**, and followed a similar time course.

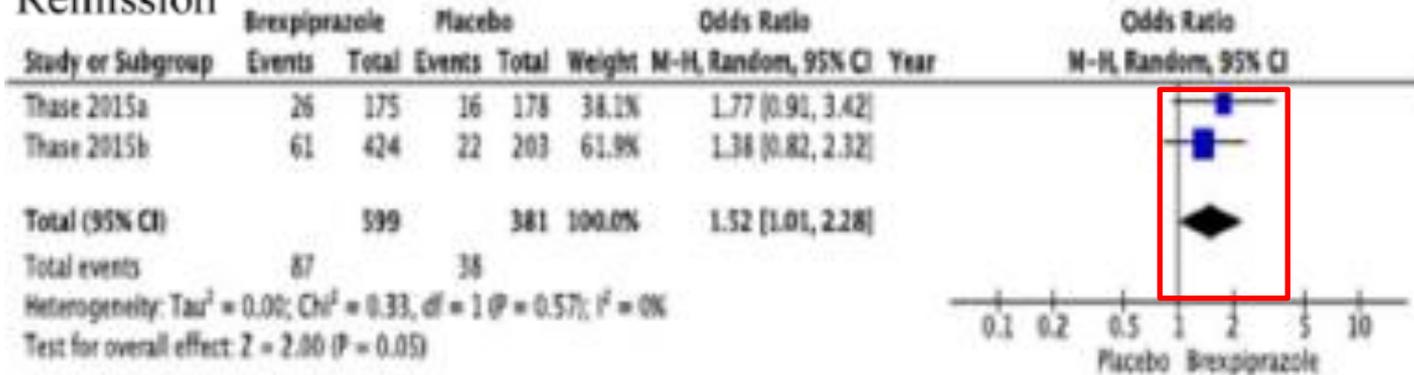
Symptoms of depression/anxiety improved in MDD with concurrent anxiety who had an inadequate response to antidepressants, following treatment with brexpiprazole at a mean dose of 2.1 mg day⁻¹ adjunctive to ADs

Forest plot for MDD: brexpiprazole

Response

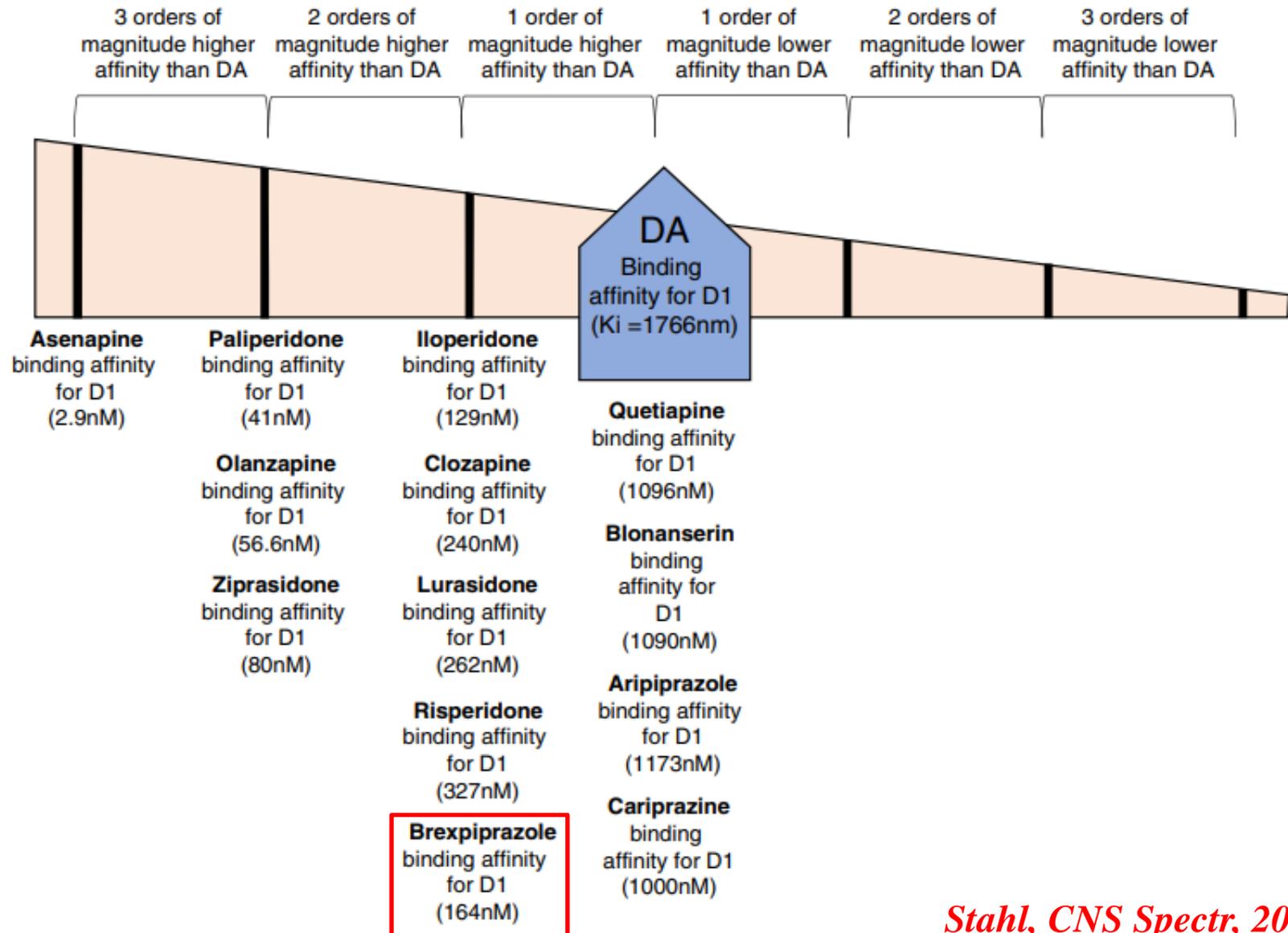


Remission



Improvements of response (OR: 1.73) and remission (OR: 1.52) rates were found with brexpiprazole as an add-on to antidepressant medications

The relative affinities of drugs for psychosis and mood for D1R compared to the affinity of dopamine itself for D1R



Brexpiprazole may induce a rapid and potent therapeutic effect in treatment-resistant depression

- Add-on treatment with antipsychotics to SSRIs facilitates AMPAR-mediated transmission via D1R activation, an effect not obtained by drugs separately.
(Björkholm et al., Int J Neuropsychopharmacol 2014; Eur Neuropsychopharmacol 2015)
- Brexpiprazole (10 nM or 3 nM) in combination with escitalopram, potentiates EPSPs in mPFC pyramidal cells and forceps minor (resembling observations with clozapine or asenapine).
Björkholm et al., Int J Neuropsychopharmacol 2014; Chen and Yang, J Neurophysiol 2002
- Addition of brexpiprazole to SSRIs potentiates or normalizes the levels of BDNF and dendritic spine density in preclinical models
Ma et al., Psychopharmacol.(Berl.) 2016; Hirose et al., Biol Psychiatry 2014
- At lower doses, add-on treatment with brexpiprazole to antidepressants reduces depressive symptoms and improves social functioning in TRD
Thase et al., J Clin Psychiatry 2015a, b

Combination of brexpiprazole and escitalopram facilitated AMPA-induced D1R dependent currents similarly to ketamine

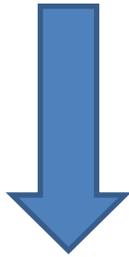
Brexpiprazole induces a clozapine-like D1R dependent facilitation of mPFC NMDAR-transmission ameliorating cognitive deficits

Björkholm et al., Eur Neuropsychopharmacol 2017

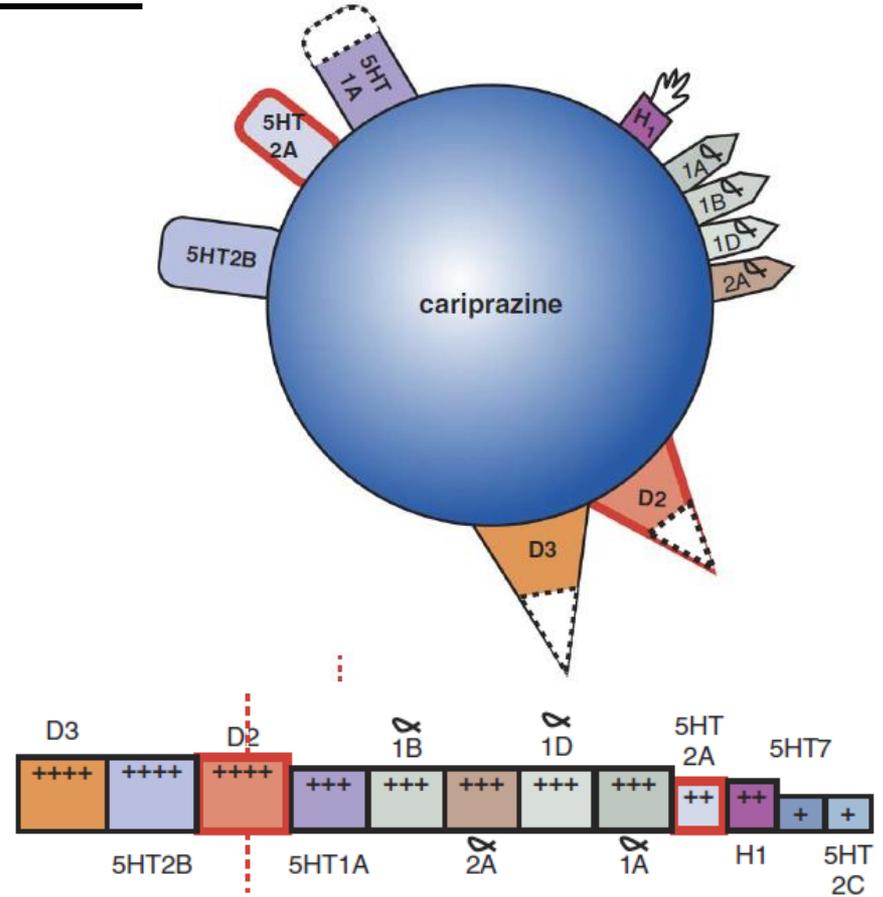
The third class of medications not still approved as adjunctive agents to antidepressants

Cariprazine

Cariprazine, a dopamine receptor–preferring D3/D2 and serotonin 5-HT1A receptor partial agonist



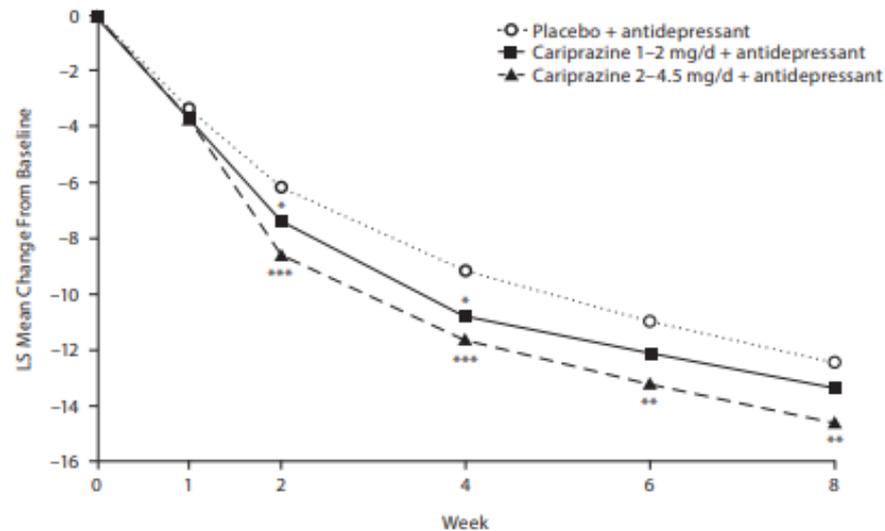
High affinity and occupancy of both D3 and D2 receptors (the highest D3 receptor binding affinity and D3 vs. D2 receptor selectivity (by approximately 6- to 8-fold))



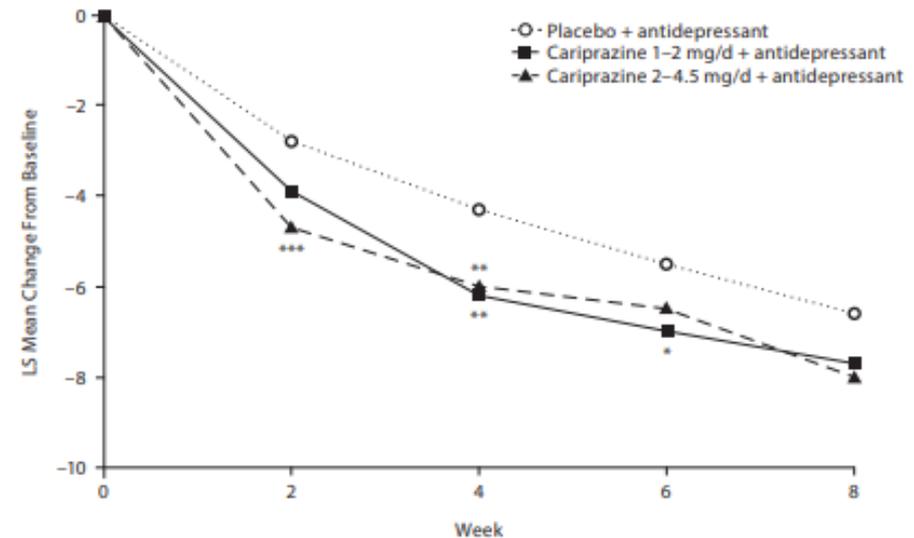
Silfstein et al., Neuropsychopharmacology 2013; Kiss et al., Synapse 2011

Efficacy and safety of adjunctive cariprazine in inadequate responders to antidepressants: a randomized, double-blind, placebo-controlled study in MDD

A. MADRS Total Score (primary endpoint)



B. SDS Total Score (secondary endpoint)



2-4.5 mg/dl of cariprazine on the MADRS outcomes at week 2 suggests a fast onset of treatment effect

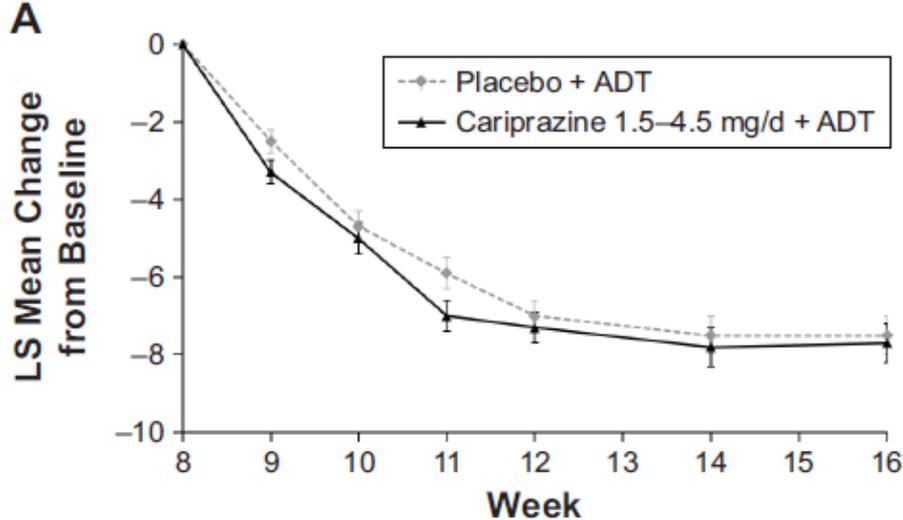
Adjunctive cariprazine 2-4.5 mg/d was effective and well tolerated in MDD who had inadequate responses to standard antidepressants

(Durgam et al., J Clin Psychiatry 2016)

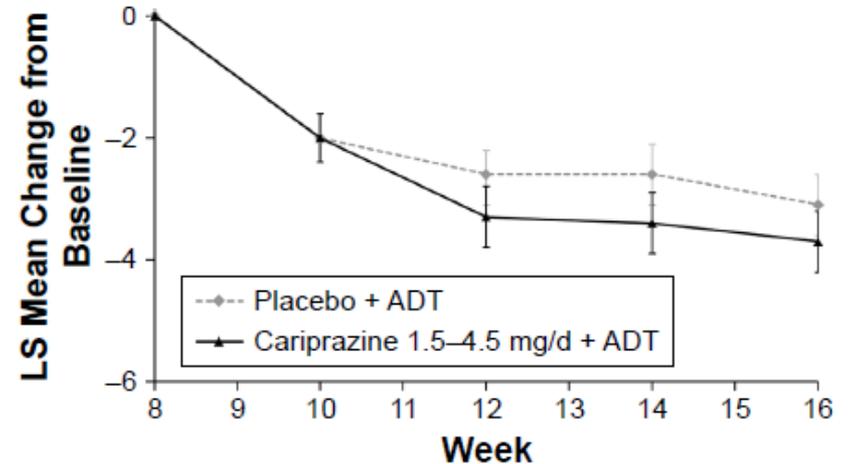
Cariprazine Augmentation to Antidepressant Therapy in Major Depressive Disorder: Results of a Randomized, Double-Blind, Placebo-Controlled Trial

By Willie R. Earley, Hua Guo, György Németh, Judit Harsányi, Michael E. Thase

Changes from Baseline by Study Visit in MADRS Total Score



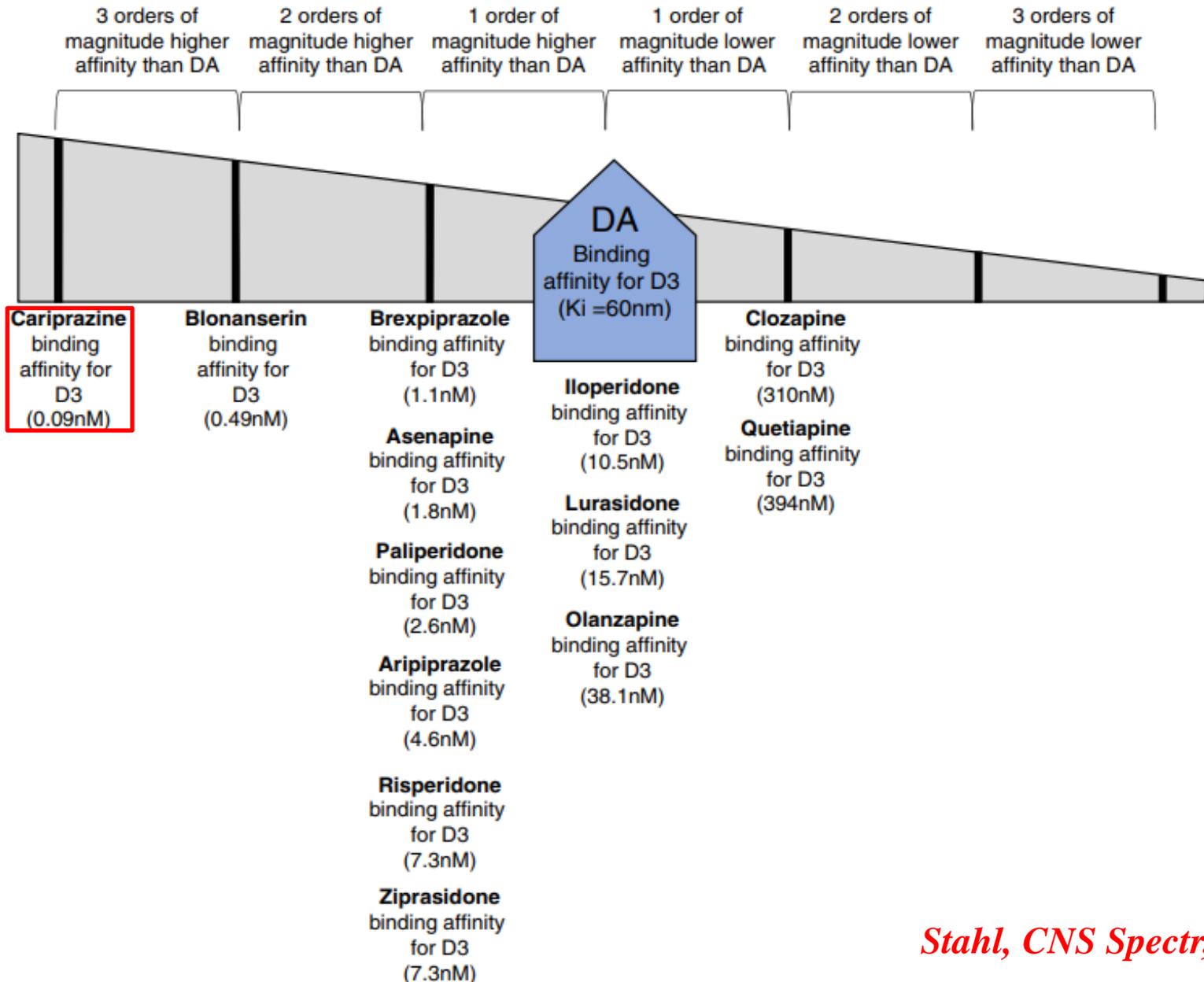
SDS Total Score Mean (Sd) Changes from Baseline by Study Visit



Adult participants with MDD and inadequate response to ADT who were treated with adjunctive cariprazine and ADT did not have significant improvement in depressive symptoms compared to placebo, but greater reductions in symptoms (Sheehan Disability Scale) compared to placebo was observed in some efficacy measures

(Earley et al., Psychopharmacol Bull 2018)

The relative affinities of drugs for psychosis and mood for D3R compared to the affinity of dopamine itself for D3R



The potential involvement of dopamine D3 receptors in antidepressant-like effects occurred in specific brain regions

D3R (both post- and pre-synaptic axonal/somatodendritic autoreceptors) was firstly discovered in September 1990. DA binds to D3R with more than 100-fold higher affinity than D2/D1R
(Sokoloff et al., 1990)



D3R are less sensitive to rapid phasic changes (mediating immediate salient responses) than to slower changes (mediating the amplitude of salient responses) of synaptic DA levels
(Grace, Nat Rev Neurosci 1990)



D1/D2R density is 2–3 times higher than **D3R** in substantia nigra and globus pallidus (striatum). Tonic dopamine levels occupy D3R for extended periods
(Sun et al., Plos One 2012)



D3 usually hold back dopamine release from terminals through a negative control (directly through their autoreceptor function or indirectly through control of GABA release which in turn results in down-regulation of dopamine release in PFC and excitation of glutamate cells)
(Carnicella et al., Transl Psychiatry 2014; Sokoloff and Le Foll, Eur J Neurosci, 2017)



The peculiar D3 location suggests interactions at glutamatergic synapses (the D3R is exacerbated when NMDAR are blocked (e.g., ketamine/phencyclidine))
(Maj et al., 1998; Lammers et al., 2000)

The dopamine D3 receptor, a quarter century later

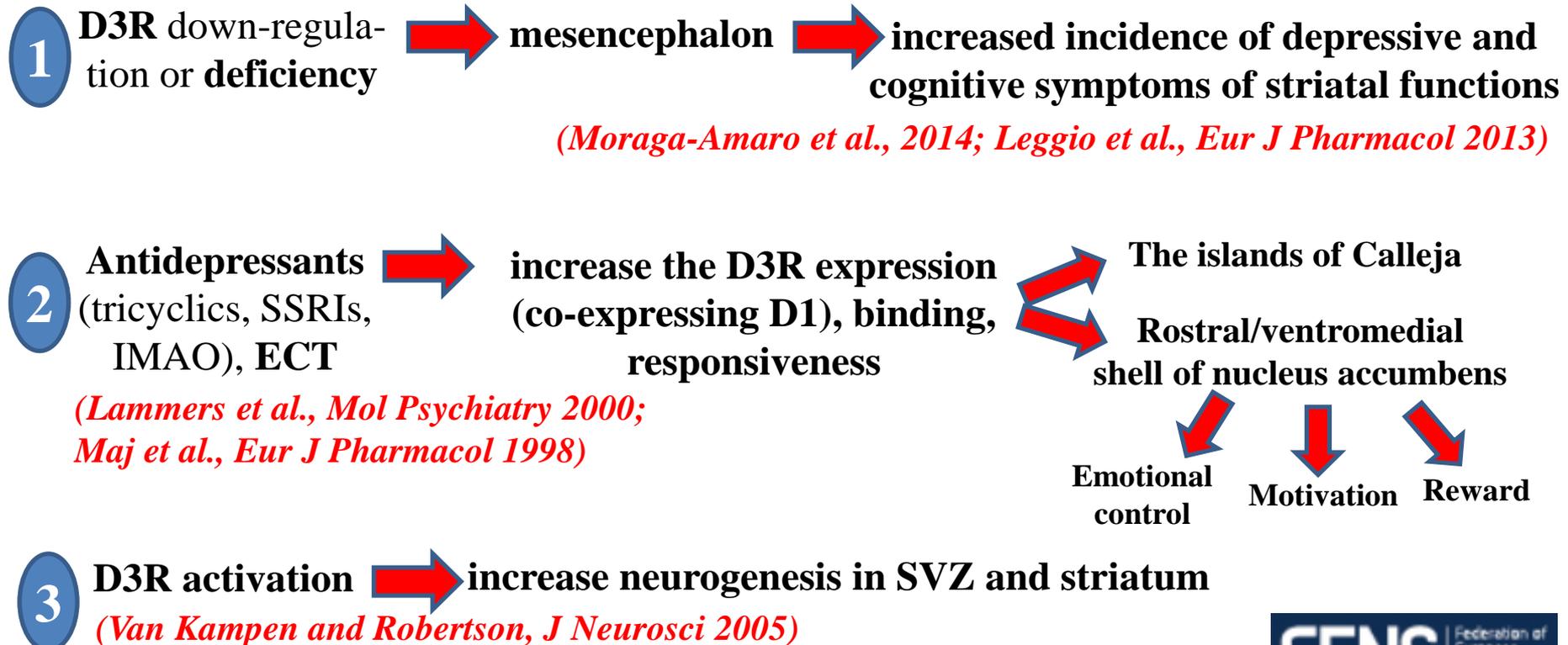
Pierre Sokoloff¹ and Bernard Le Foll^{2,3}

¹PSAdvice, 81540 Belleserre, France

²Centre for Addiction and Mental Health, Toronto, ON, Canada

³University of Toronto, Toronto, ON, Canada

D3 receptors activation and antidepressant effects



The novel partial dopamine D3/D2 agonist cariprazine and its pro-cognitive effects

- The pharmacological engagement of D3 receptors may have a positive effect on:
1. **Cognitive performance** with D3 procognitive-like effects (through the **blockade** of dopamine-induced inhibition in the **hippocampus** and **enhancement** of acetylcholine release in PFC).

Marder et al., Eur Neuropsychopharmacol 2016; Papp et al., Behav Pharmacol 2014; Duman et al., Am J Neuropsychopharmacol, 2012; Carnicella et al., Transl Psychiatry 2014

2. Although **other mechanisms** (e.g., **5-HT1A agonism**) may be implicated in **cariprazine's pro-cognitive effect**, the engagement of D3 receptors may provide beneficial effects in various human disorders.

Pich and Collo, Eur Neuropsychopharmacol 2015; Rogoz et al., Pol J Pharmacol 2004

Targeting D3 receptors may improve negative/depressive cognitive-related symptoms (e.g., motivation, anhedonia) and social behaviors

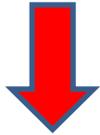
Gross and Drescher, Handb Exp Pharmacol 2012; Nakajima et al., Eur Neuropsychopharmacol 2013; Papp et al., Behav Pharmacol 2014

The anxiolytic and antidepressant-like effects of the novel D3/D2 agonist cariprazine

The **antianhedonic-like actions** of cariprazine were **not observed in D3-KO mice**

Duric et al., Int J Neuropsychopharmacol, 2017

This indicates that...

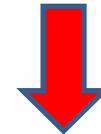


dopamine **D3 receptors are required to mediate the antidepressant-like effects** of cariprazine

The **anxiolytic actions** of cariprazine were **not observed in D3-KO mice**

Steiner et al., Physiol Behav 1997

This suggests that...



blockade of D3 receptors in the brain may produce anxiolytic effects

7-OH-DPAT and cariprazine (are both preferential D3R agonists) were found to exert anxiolytic- and antidepressant-like effect in both rats/mice models and clinical application

(Marder et al., Eur Neuropsychopharmacol 2019; Duric et al., Int J Neuropsychopharmacol 2017; Rogoz et al., Pol J Pharmacol 2004)

D1/D3R polypharmacology as a potential treatment for substance abuse: the crucial role of D3 in the control of reactivity to drug-linked cues (drug-seeking behaviors)

The D3 receptor partial agonists

may attenuate
the effects of:

cocaine (Duarte et al.,
Neuropsychopharmacology
2003; Cervo et al., Pharmacol.
Biochem. Behav. 2005)

nicotine (Le Foll et
al., Mol. Psychiatry
2005)

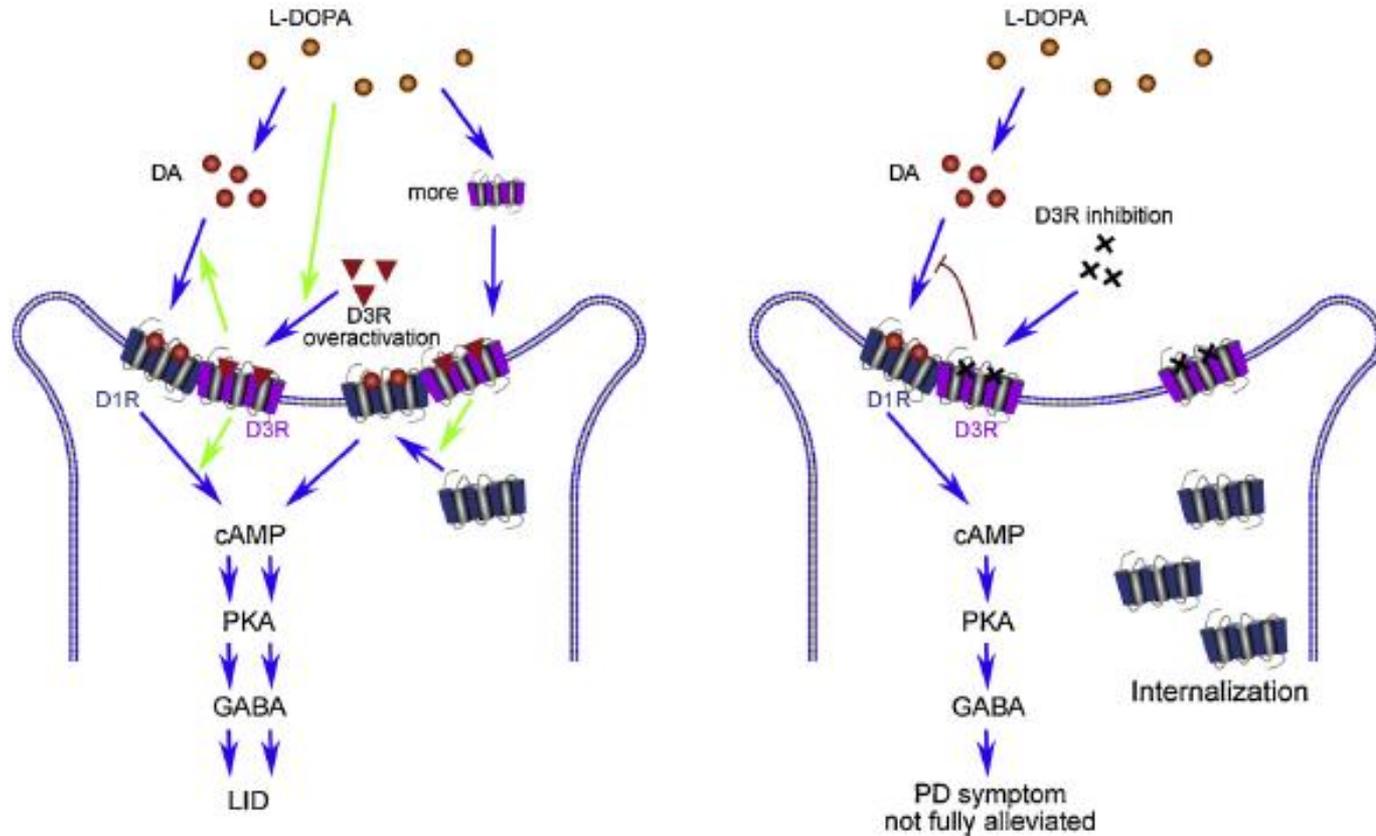
morphine (Frances et al.,
Neuroreport 2004; Duarte et al.,
Neuropsychopharmacology 2003)

amphetamine (Aujla and
Beninger, Behav Pharmacol
2005)

..as the potencies of partial agonists were found to correlate with D3 but not D2..

..D3 (through a tonic inhibition on the ventral tegmental area) potentiates D1-induced stimulation of GABA release..

The cross-talk between D3R and D1R



1

D3R enhances D1R expression, increases the affinity of DA to D1R, and activates the D1R signal pathway, inducing D1R oversensitivity leading to dyskinesia

2

L-dopa induces D1-BDNF mediated D3 expression (upregulation), and drug-induced dyskinesia.

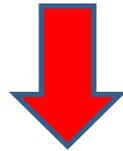
3

D3R inhibition relieves dyskinesia, and leads to decreased affinity of DA on D1R

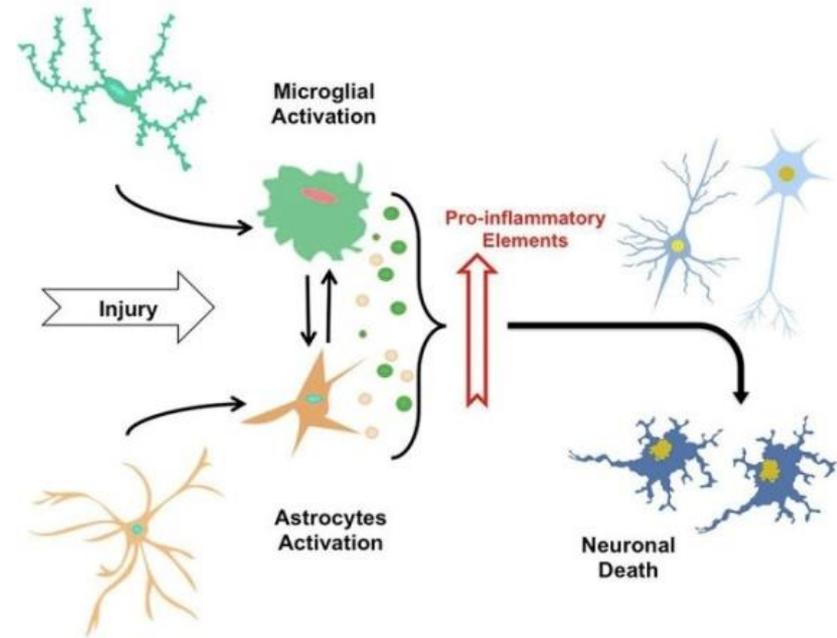
Yang et al., Ageing Research Reviews 2020; Sokoloff and Le Foll, Eur J Neurosci, 2017

The modulatory role of dopamine receptors in brain neuroinflammation

The differential activation of DAR is able to control tissue inflammation and injury



The anti-inflammatory effect of DAR is closely related to the inhibition of NF- κ B activation through protein phosphatase 2A (PP2A)-dependent Akt pathway



Recently, the role of DAR in neuro-inflammation has been investigated widely

Ponomarev et al., Front. Immunol 2018; Han et al., Br. J. Pharmacol. 2017; Zhang et al., 2016

At the beginning of a fashinating journey...

**Only further experience with
partial agonists in practice will
answer the question of what are
the clinical correlates of
preferentially binding D1, D2,
D3 receptors**



Stephen Stahl

Stahl, CNS Spectrums (2016), 21, 123–127